# UNITED STATES COURT OF APPEALS FOR THE FIRST CIRCUIT

# APPLICATION FOR POSITION OF FEDERAL PUBLIC DEFENDER FOR THE DISTRICT OF MAINE

| 1.  | Full legal name:                               |                                       |  |  |  |  |
|-----|--|---------------------------------------|--|--|--|--|
| 2.  | Preferred name (if different from legal name): |                                       |  |  |  |  |
| 3.  |  |                                       |  |  |  |  |
| 4.  | Present occupation:                            | Title:                                |  |  |  |  |
| 5.  | Office name & address:                         |                                       |  |  |  |  |
|     | City:  | State:                                |  |  |  |  |
|     | Zip:   | Telephone:                            |  |  |  |  |
| 6.  |  |                                       |  |  |  |  |
|     | City:  | State:                                |  |  |  |  |
|     | Zip:   | Telephone:                            |  |  |  |  |
| 7.  | Email address:                                 |                                       |  |  |  |  |
| 8.  | Cell phone number:                             |                                       |  |  |  |  |
| 9.  | Place of birth:                                |                                       |  |  |  |  |
|     |  |                                       |  |  |  |  |
| 10. | If you are a naturalized citizen, please state | the date and place of naturalization: |  |  |  |  |

11. For purposes of determining conflicts, please provide the name and occupation of each adult member (18 years or older) of your household or of any person with whom you have a close enough personal relationship to raise possible conflicts of interest issues.

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| Name  | Occupation   |
|---|--|
|   |  |
|   |  |
| If any of the individuals lister<br>court, if you are appointed?<br>Yes □ | above is an attorney, will that person practice before the district o $\Box$ |
| If "Yes", explain:  |  |
| Colleges and universities (in standing (if known):                        | uding professional schools) attended, dates, degrees, and class              |
| Military Service:   |  |
| Branch:   | Dates:   |
| Rank or rate at discharge:  | Type of discharge:   |
|   |  |

14. Are you related by blood or marriage to any Federal Judge of the First Circuit or any member of the staffs of the various courts in the First Circuit? If so, give their names and relationship:

- 15. List any law school accomplishments, any honors, prizes, or awards you received, and/or any legal books or articles you have published, giving citations and dates if applicable.
- 16. a) Continuing legal education courses completed within the last five years:

b) Continuing legal education courses taught within the last five years:

17. Have you participated as faculty in any criminal defense trainings? If so, please describe the general nature of the trainings and the time periods.

18. List all courts (including state bar admissions) and administrative bodies having special admission requirements in which you are presently admitted to practice, giving the dates of admission in each case:

Court or Administrative Body:

Date of Admissions/I.D. No.:

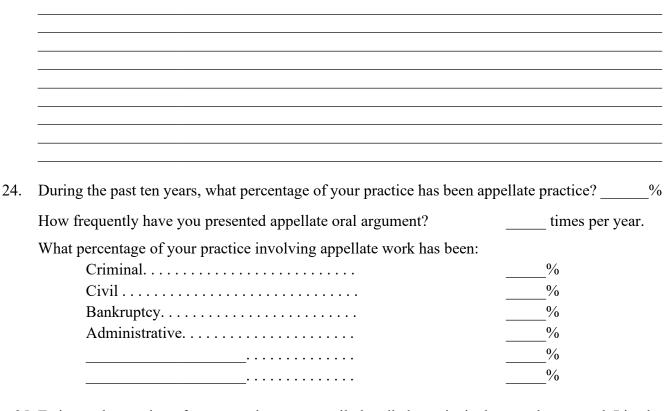
- 19. Attach a chronological list, beginning with your admission to law school, of all self-employment, and all law firms, public agencies, private businesses, or non-profit organizations by which you have been employed, with which you have been associated, or for which you have engaged in pro bono services, stating the name, position, dates, a point of contact, address, and telephone number for each. If you prefer, you may include this information in an attached CV.
- 20. a) Describe the general nature of your current practice including any legal specialties which you possess and the characteristics of your typical clients. Additionally, if your practice is

substantially different now than previously, please give the same information with respect to your prior practice:

| b)           | Have<br>the t | ? If so, please descri  |                |  |  |
|--------------|---------------|---|----------------|--|--|
| <br><br>. a) | Dat           | ou regularly appear in court?   |                |  |  |
| . a)         |               |   |                |  |  |
| b)           | Wha           | t percentage of your appearances in the last ten years were in:                                     |                |  |  |
|              | 1.            | Federal District and Appeals Courts.  |                |  |  |
|              | 2.<br>3.      | State or Local Courts   |                |  |  |
|              | 3.<br>4.      | Bankruptcy Court  |                |  |  |
|              | 5.            | Other.  |                |  |  |
|              |               | past ten years, what percentage of your practice has been trial pointly have you appeared in court? | practice?%     |  |  |
|              | 1             | ntly have you appeared at administrative hearings?  | times per mont |  |  |
|              | much t        | times per mont.<br>%  |                |  |  |
|              | much t        | /0<br>  |                |  |  |
|              | much t        | %   |                |  |  |
|              |               |   |                |  |  |
|              | t percer      |   |                |  |  |
| vv 11a       | 1             | %   |                |  |  |
|              |               | ninal   | %<br>%         |  |  |
|              |               |   | %              |  |  |
|              |               | inistrative   | %              |  |  |
|              |               |   | %              |  |  |
|              |               |   | %              |  |  |

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23. State the names of the cases you have tried to conclusion in state or federal courts during each of the past five years, including whether you were sole, associate, or chief counsel. Please indicate which of these were jury trials. Give citations of any reported cases. Include the name and contact information of your adversary(ies) and co-counsel in each, and the judge before whom you appeared.



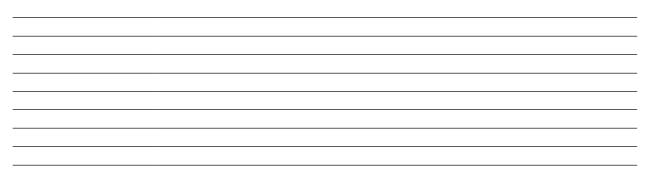
25. Estimate the number of cases you have personally handled as principal counsel on appeal. List the five most recent (with citations) and discuss how much of your appellate work you have orally argued.



26. During the past ten years, what percentage of your practice has been spent on habeas matters?

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27. Estimate the number of habeas matters that you have personally handled as principal counsel. List the five most recent (with citations).



28. Have you ever held a position as a Federal Public Defender or been a candidate for Federal Public Defender? If so, please state the jurisdiction(s) involved and the dates of service, or dates of candidacy:

Prior Federal Public Defender Service:

| Name of Agency: |  |
|-----------------|--|
|-----------------|--|

Position held:

| Dates of Service: |      |
|-------------------|------|
| Dates of Service  |      |
| Dates of Service. | <br> |

- 29. Describe in considerable detail any relevant administrative and supervisory experience, including:
  - i. Positions held by title and/or description;
  - ii. Dates of each position; and
  - iii. Administrative and supervisory responsibilities of each position, including the number of persons supervised.

30. Describe how you would manage the Federal Public Defender Office for the District of Maine, including how you would manage educational responsibilities and any new initiatives you would implement. Please address your experience with or how you would approach areas such as

supervision style; employee disputes; fiscal responsibilities; staff motivation; office values; creation, adoption, or implementation of office policies; delegation of responsibilities; and any other relevant skills and experiences.

31. Do you have experience addressing ethical issues arising from representation of defendants and/or professional responsibility issues? If so, please describe.

- 32. a) If you are now an officer, director, or otherwise engaged in the management of any business enterprise, state the name of such enterprise, the nature of the business, the nature of your duties, and whether you intend to resign such position immediately upon your appointment as Federal Public Defender.
  - b) Since being admitted to the Bar, have you ever engaged in any occupation, business, or profession other than the practice of law? If so, give the details, including dates. If you prefer, you may include this information on an attached CV.

- c) State whether, during the past ten years, you have received any fees or compensation of any kind, other than for legal services rendered, from any business enterprise, institution, organization, or association. If so, identify the source of such compensation, the nature of the business enterprise, institution, organization, or association involved, and the dates such compensation was paid.
- 33. Have you ever been involved as a party to any litigation or arbitration (civil, or administrative)? If so, please provide details.
- 34. Have you ever been disciplined or cited for a breach of ethics or unprofessional conduct by any court, administrative agency, bar association, or other professional group, or, without regard to the outcome, to your knowledge has there ever been a complaint against you with or by any court, administrative agency, bar association, or other professional group?

 $\Box$  Yes  $\Box$  No

If "Yes", explain:

a) Has any court, administrative agency, bar association, or other professional group charged you or investigated to determine if you should be charged with misconduct, incompetence, unsatisfactory performance of duty, or violation of law with respect to professional conduct?

 $\Box$  Yes  $\Box$  No

If "Yes", explain:

b) Have you, to your knowledge, ever been found not qualified for any public position by any court, administrative agency, bar association, or other professional group?

 $\Box$  Yes  $\Box$  No

If "Yes", explain:

| c)       | Have you ever been disciplined or terminated by or resigned to avoid possible termination from any public or private employer, law firm, or any other entity because of misconduct, incompetence, abusive or harassing behavior, or unsatisfactory performance? |   |  |  |  |
|----------|---|---|--|--|--|
|          | □ Yes   | $\Box$ No   |  |  |  |
| If "Ye   | es", explain:   |   |  |  |  |
| d)       | bench of any  | resigned from, or for other reasons ceased to be a member of the bar<br>state or court in any jurisdiction, a member of any governmental body<br>, or the holder of any similar position? |  |  |  |
|          | □ Yes   | □ No  |  |  |  |
| If "V    | es", explain:   |   |  |  |  |
| <u> </u> | cs , explain.   |   |  |  |  |
|          | -   | ed committees on which you have served.   |  |  |  |
|          | -   | ed committees on which you have served.   |  |  |  |
| . List a | ny court-appoi  | ed committees on which you have served.<br>owledge, are you in compliance with the tax laws of the federal governme<br>and community of which you are a resident?                         |  |  |  |
| . List a | ny court-appoi  | owledge, are you in compliance with the tax laws of the federal governme  |  |  |  |

37. Have you timely filed appropriate tax returns as required by federal, state, local, and other government authorities?

|     | $\Box$ Yes                             | 🗆 No                           |   |
|-----|--|--------------------------------|---|
|     | If "No", explain:                      |                                |   |
| 38. | Has a tax lien or<br>local authorities | _                              | ver been instituted against you by federal, state, or   |
|     | □ Yes                                  | □ No                           |   |
|     | If "Yes", explain                      | 1:                             |   |
|     |  |                                |   |
| 39. |  |                                | swered summonses, unanswered traffic tickets, or by any federal, state, or local authorities? |
|     | □ Yes                                  | □ No                           |   |
|     | If "Yes", explain                      | 1:                             |   |
|     | a) Are there                           | e any unsatisfied judgements a | against you?  |
|     | $\Box$ Yes                             | □ No                           |   |
|     | If "Yes", explain                      | 1:                             |   |
|     |  |                                |   |
|     |  |                                |   |

b) Are you in default in the performance or discharge of any duty or obligation imposed upon you by decree or order of any court or administrative agency, including alimony/maintenance and support order decrees?

 $\Box$  Yes  $\Box$  No

| c)    | Have you mad  | le an assignment for the benefit of creditors in the last ten years?  |
|-------|---------------|---|
|       | □ Yes         | □ No  |
| If "Y | es", explain: |   |
| d)    | • •           | tion in bankruptcy been filed by or against you in the last ten years?<br>provided in response to this question does not necessarily disqualify |
|       | □ Yes         | □ No  |
| If "Y | es", explain: |   |

40. a) List all bar associations and legal professional societies of which you are a member and give the titles and dates of any office you have held in such groups, and committees to which you belonged.

b) List all organizations and clubs, other than bar associations and professional societies identified in response to Question No. 40(a), of which you have been a member during the past ten years, including the titles and dates of any offices you have held in such organization(s).

- 41. Describe any civic, philanthropic, community, social, or public service activities during the past five years, including any posts or offices held and honors or awards received.
- 42. Do any clubs or private associations to which you belong have selected membership as to race, sex, national origin, etc.?

| $\Box$ Yes | $\Box$ No |
|------------|-----------|
|------------|-----------|

If "Yes", name of organization:

- 43. State any achievements or actions you have accomplished, demonstrating your commitment to equal justice under the law.
- 44. State any additional education or other experiences, such as challenges or adversities that you have overcome, that you believe would assist you in holding a Federal Public Defender office.
- 45. State any other pertinent information reflecting positively or adversely on you which you believe should be disclosed to the Court of Appeals and the selection panel in connection with your possible nomination as Federal Public Defender.
- 46. Give the names and current phone numbers of at least five, but not more than eight persons (excluding relatives), as references who are familiar with your professional work and abilities and with your personal character, stating how long and in what capacity each has known you.

| Name and     | Telephone | Period of    |
|--------------|-----------|--------------|
| Relationship | Number    | Acquaintance |
|              |           |              |

| <br> | _ |                                       |
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# **Confidentiality Statement**

This application will be kept confidential and will be examined only by members of the Merit Screening Committee, the Circuit Executive, and the Judges of the United States Court of Appeals for the First Circuit. The Committee may contact the individuals whom you have listed as references, as well as employers, co-workers, adversaries, colleagues, or others.

If you wish to withhold your consent to such contacts until such time as you may be considered a potential finalist for the position, and in that event, consent to such contacts, please indicate by a check mark  $\Box$ 

Date

Signature of Candidate\*

\*Electronic signatures are accepted.

Completed applications must be received <u>no later than November 27, 2023</u>. Please email completed applications to ca01\_chjobs@ca1.uscourts.gov. Please direct any questions to:

Susan J. Goldberg, Circuit Executive Office of the Circuit Executive John Joseph Moakley U.S. Courthouse 1 Courthouse Way, Suite 3700 Boston, MA 02210 Phone: 617-748-9614 ca01\_chjobs@ca1.uscourts.gov

Employment is contingent upon a successful FBI background check. Due to the sensitivity level of this position, candidates may be asked about their criminal history prior to receiving a tentative offer of employment. Criminal history is not in itself disqualifying. All available information, past and present, favorable and unfavorable, about the reliability and trustworthiness of an individual will be considered when making an employment suitability determination. Providing false and/or misleading information may be grounds for removal from the application and selection process, as well as disciplinary action if discovered after an individual's date of hire.

Persons will be considered without regard to race, color, age (over 40), gender, religion, national origin, disability, or sexual orientation.

N.B. If you change your address, or if anything occurs that would affect your answers to this application, notice of such change should be emailed to ca01\_chjobs@ca1.uscourts.gov.